

---

## Strategic Risk and Assurance Committee

### *Komiti Whakamauru Tuuraru*

### OPEN MINUTES

---

Minutes of a meeting of the Strategic Risk and Assurance Committee held in Council Chamber and Audio-Visual Link, Municipal Building, Garden Place, Hamilton on Tuesday 10 December 2024 at 1:03pm.

#### PRESENT

**Deputy Chairperson** Ms Carol Bellette  
*Heamana Tuarua*

**Members** Deputy Mayor Angela O’Leary  
Cr Maxine van Oosten  
Cr Kesh Naidoo-Rauf  
Cr Ewan Wilson  
Cr Sarah Thomson

**In Attendance** Cr Tim Macindoe  
Bineeta Nand – KPMG Representative  
James MacGillivray – Tompkins Wake Representative  
Clarence Susan – Audit NZ Representative  
Matthew Wilson - AON Representative

---

*Ms Bellette assumed the role of Chair in the absence of Mr Robertson.*

1. **Apologies – Tono aroha**  
**Resolved:** (Cr van Oosten/Cr Wilson)  
That the Strategic Risk and Assurance Committee accepts the apologies for absence from Mayor Southgate, Cr Tauariki, Cr Donovan and Chair Robertson.
2. **Confirmation of Agenda – Whakatau raarangi take**  
**Resolved:** (Cr van Oosten/Cr Wilson)  
The Strategic Risk and Assurance Committee confirmed the agenda.
3. **Declarations of Interest – Tauaakii whaipanga**  
No members of the Council declared a Conflict of Interest.
4. **Public Forum – Aatea korero**  
No members of the public wished to speak.
5. **Confirmation of the Strategic Risk and Assurance Open Minutes 3 September 2024**  
**Resolved:** (Cr van Oosten/Cr Wilson)  
That the Strategic Risk and Assurance Committee confirm the Open Minutes of the Strategic Risk and Assurance Committee Meeting held on 3 September 2024 as a true and correct record.

**6. Confirmation of the Extraordinary Strategic Risk and Assurance Committee Meeting 28 November 2024**

**Resolved:** (Cr Wilson/Cr Naidoo-Rauf)

That the Strategic Risk and Assurance Committee confirms that the Open Minutes of the Extraordinary Strategic Risk and Assurance Committee Meeting held on 28 November 2024 as a true and correct record.

**7. Chief Executive Report**

The Chief Executive spoke to their report in particular Local Waters Done Well, the Fast-track process and regional deals.

**Resolved:** (Ms Bellette/ Cr Thomson)

That the Strategic Risk and Assurance Committee receives the report.

**8. Health & Safety Report - 1 August to 31 October 2024**

The People Safety & Wellness Manager, Health & Safety Manager and External Chair of the Safety Governance Committee spoke to the report and highlighted the last 12 months of the Safety Governance Committee's activities. Staff responded to questions from Members concerning new traffic management strategies and the use of sign in facilities for contractors.

**Staff Action:** *Staff undertook work to report back via the Infrastructure and Transport Committee on the progress of traffic management strategies in particular median strips and inroad gardens.*

**Resolved:** (Cr Thomson/Cr van Oosten)

That the Strategic Risk and Assurance Committee recommends that the Council receives the report.

**9. Risk Management Report**

The Senior Risk and Resilience Advisor and the Head of Operations and Process outlined the emerging risk of freshwater golden clams. Staff responded to questions from Members concerning the role of the Ministry of Primary Industries regarding freshwater golden clams.

**Staff Action:** *Staff undertook to include information on Freshwater Golden Clams in the next Risk Management Report.*

**Resolved:** (Ms Bellette/Cr Naidoo-Rauf)

That the Strategic Risk and Assurance Committee recommends that the Council receives the report.

**10. KPMG - Internal Audit Update and Report**

The Accounting Manager and representatives from KPMG provided an update on the Internal Review on Communication Effectiveness and the recommendations outlined in their report. Staff responded to questions from Members concerning the Communications Integrity Review.

**Staff Action:** *Staff undertook to report back on progress on the Communications Integrity Review and the wider Internal Audit Programme at a future meeting.*

**Resolved:** (Cr van Oosten/Cr Naidoo-Rauf)  
That the Strategic Risk and Assurance Committee receives the report.

**11. Organisational Improvement Report**

The Accounting Manager introduced the report and highlighted the progress made in addressing the items on the register.

**Resolved:** (Ms Bellette/Cr Wilson)  
That the Strategic Risk and Assurance Committee receives the report.

**12. Audit New Zealand Update**

The Accounting Manager and representative from Audit NZ spoke to the report and highlighted the low risk improvements recommendations. They responded to questions from Members concerning Plan Change 5.

**Resolved:** (Ms Bellette/Cr Thomson)  
That the Strategic Risk and Assurance Committee receives the report.

**13. Annual Credit Rating Report - S&P Global Ratings**

The Accounting Manager and the Chief Financial Officer spoke to the change in Credit Rating, noting uncertainty around waters infrastructure and often determined by the perception of local governments as a whole rather than individual authorities.

**Resolved:** (Cr van Oosten/Ms Bellette)  
That the Strategic Risk and Assurance Committee receives the report.

**14. Annual Post-Renewal Insurance Update**

The Insurance Manager and a representative from AON highlighted the 5.6% increase in premiums, noting that this is below the projected values for the year.

**Resolved:** (Ms Bellette/Cr Wilson)  
That the Strategic Risk and Assurance Committee:  
a) receives the report; and  
b) notes the renewal of Council's general insurance policies.

**15. Compliance Reporting Update**

**Resolved:** (Cr Wilson/Cr Thomson)  
That the Strategic Risk and Assurance Committee receives the report.

**16. Draft Work Programme – 2025**

The Governance and Assurance Manager discussed the Draft Work Programme, noting that some parts of the programme will be confirmed after the 2025 Local Body Elections

**Staff Action:** *Staff undertook work to bring forward a review of water to the March meeting.*

**Staff Action:** *Staff undertook work to include more information in the Executive Summary for reports to Strategic Risk and Assurance Committee and provide more information on what the potential risks are.*

**Resolved:** (Ms Bellette/Cr Wilson)  
That the Strategic Risk and Assurance Committee:

- a) receives the report; and
- b) adopts the draft work programme for the Strategic Risk and Assurance Committee for 2025; and
- c) notes the meetings scheduled for calendar year are 6 March, 17 June, and 11 September and that further meeting dates will be subject to the Annual Report programme and decisions made by Council post the October triennial elections.

**17. Annual Self Review**

The Governance and Assurance Manager discussed the report, highlighting the progress made over the year and suggesting improvements for the committee in the coming year.

**Resolved:** (Ms Bellette/Cr Naidoo-Rauf)

That the Strategic Risk and Assurance Committee

- a) receives the report;
- b) thanks Chair Bruce Robertson for his work this year; and
- c) notes any areas for improvement or additional training for the Committee in 2025.

**18. Resolution to Exclude the Public**

**Resolved:** (Ms Bellette/Cr Wilson)

**Section 48, Local Government Official Information and Meetings Act 1987**

That the public be excluded from the following parts of the proceedings of this meeting, namely consideration of the public excluded agenda.

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution follows.

General subject of each matter to be considered	Reasons for passing this resolution in relation to each matter	Ground(s) under section 48(1) for the passing of this resolution
-------------------------------------------------	----------------------------------------------------------------	------------------------------------------------------------------

- 
- |                                                                                                         |                                                                                                                                                   |                  |
|---------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|------------------|
| C1. Confirmation of the Strategic Risk and Assurance Committee Public Excluded Minutes 3 September 2024 | ) Good reason to withhold<br>) information exists under<br>) Section 7 Local Government<br>) Official Information and<br>) Meetings Act 1987<br>) | Section 48(1)(a) |
| C2. Legal Risks - Committee Update                                                                      |                                                                                                                                                   |                  |
| C3. Organisational Improvement Register - Public Excluded                                               |                                                                                                                                                   |                  |
| C4. Strategic Risk 3 Loss of Information or Access to Systems and Services Public Excluded              |                                                                                                                                                   |                  |

This resolution is made in reliance on section 48(1)(a) of the Local Government Official Information and Meetings Act 1987 and the particular interest or interests protected by Section 6 or Section 7 of that Act which would be prejudiced by the holding of the whole or relevant part of the proceedings of the meeting in public, as follows:

- |          |                                                                                                                                                                                                                                                                                                                  |                                                                  |
|----------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| Item C1. | to maintain the effective conduct of public affairs through protecting persons from improper pressure or harassment                                                                                                                                                                                              | Section 7 (2) (f) (ii)                                           |
| Item C2. | to maintain legal professional privilege<br>to enable Council to carry out commercial activities without disadvantage                                                                                                                                                                                            | Section 7 (2) (g)<br>Section 7 (2) (h)<br>Section 7 (2) (i)      |
| Item C3. | to enable Council to carry out negotiations<br>to prevent the disclosure or use of official information for improper gain or improper advantage                                                                                                                                                                  | Section 7 (2) (j)                                                |
| Item C4. | to protect information which is subject to an obligation of confidence where disclosure would likely damage the public interest<br>to enable Council to carry out commercial activities without disadvantage<br>to prevent the disclosure or use of official information for improper gain or improper advantage | Section 7 (2) (c) (ii)<br>Section 7 (2) (h)<br>Section 7 (2) (j) |

**The meeting moved into Public Excluded at 2:34pm.**

**The meeting was declared closed at 2:55pm.**