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## Strategic Risk and Assurance Committee

### *Komiti Whakamauru Tuuraru*

### OPEN MINUTES

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Minutes of a meeting of the Strategic Risk and Assurance Committee held in Council Chamber and Audio-Visual Link, Municipal Building, Garden Place, Hamilton on Tuesday 3 September 2024 at 12:30 pm.

#### PRESENT

**Chairperson** Mr Bruce Robertson

***Heamana***

**Deputy Chairperson** Ms Carol Bellette

***Heamana Tuarua***

#### Members

Mayor Paula Southgate

Cr Maxine van Oosten (via Audio Visual Link)

Cr Kesh Naidoo-Rauf (via Audio Visual Link)

#### In Attendance:

Cr Tim Macindoe

Cr Louise Hutt

Cr Maria Huata

#### External Presenters:

Bineeta Nand – KPMG representative

Clarence Susan – Audit NZ representative

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*The meeting was opened with a karakia lead by the chair.*

#### 1. Apologies – *Tono aroha*

**Resolved:** (Mr Robertson/Ms Bellette)

That the full absences from Deputy Mayor O’Leary, Cr Wilson (Council Business), Cr Thompson and Cr Donovan are accepted.

#### 2. Confirmation of Agenda – *Whakatau raarangi take*

**Resolved:** (Mr Robertson/Ms Bellette)

That the agenda is confirmed.

#### 3. Declarations of Interest – *Tauaakii whaipanga*

No members of the Council declared a Conflict of Interest.

#### 4. Public Forum – *Aatea korero*

No members of the public wished to speak

**5. Confirmation of the Strategic Risk and Assurance Open Minutes 18 June 2024**

**Resolved:** (Mr Robertson/Mayor Southgate)

That the Strategic Risk and Assurance Committee confirms the Open Minutes of the Strategic Risk and Assurance Meeting held on 18 June 2024 as a true and correct record

**6. Chief Executive Report**

The Chief Executive spoke to his report in particular the Fast Track Approvals Bill, Maaori Wards and Central Government's Policy position on system improvements for local governments including funding, financing and core services. Staff responded to question from Members concerning the impact of levels of services, Central Government's policy position and Council's relationship with them.

**Resolved:** (Mr Robertson/Ms Bellette)

That the Strategic Risk and Assurance Committee receives the report.

**7. Health & Safety Report - 1 May 2024 to 31 July 2024**

The People and Safety Wellness Manager and Health and Safety Manger spoke to their report, in particular that work that has been done around injury management, preparation for 2025 internal audit and work in the wellbeing space. Staff responded to questions from Members concerning the Internal Audit Template that has taken place, the ORA incident app and hierarchy of risk management

**Resolved:** (Mr Robertson/Mayor Paula)

That the Strategic Risk and Assurance Committee recommends that the Council receives the report

**8. Risk Management Report**

The Senior Risk and Resilience Advisor spoke to their report, providing an update on changes to residual risk rating for Strategic Risk 2, from medium to high. Staff responded to questions from Members concerning the impact of the Long-Term Plan on risk ratings.

**Resolved:** (Mayor Paula/Mr Robertson)

That the Strategic Risk and Assurance Committee receives the report.

**9. KPMG - Internal Audit Update and Report**

Representatives from KPMG spoke to report in particular their Procurement Maturity Assessment. Staff responded to questions from members concerning the recommendations outlined in the report.

**Resolved:** (Mr Robertson/Ms Carol Bellette)

That the Strategic Risk and Assurance Committee receives the report.

**10. Organisational Improvement Report**

The report was taken as read.

**Resolved:** (Mr Robertson/Ms Carol Bellette)  
That the Strategic Risk and Assurance Committee receives the report.

**11. Audit New Zealand Update**

Audit New Zealand Representatives outlined their Interim Management Audit and Long Term Plan Audit reports in particular highlighting the assumptions made in the Long Term Plan that are needing supportable evidence and privileged network access. Staff responded to questions from members concerning inflation assumptions, and recommendations around Long Term Plan documents.

**Resolved:** (Mr Robertson/Cr van Oosten)  
That the Strategic Risk and Assurance Committee receives the report.

**12. Compliance Reporting Update**

The Governance and Assurance Manager introduced their report noting that there are no instances of fraud and corruption or significant non-compliance of the privacy act to report and updates to the internal and external policies. Staff responded to questions from members concerning compliance with legislation and the impact of deferred policy renewals.

**Resolved:** (Mr Robertson/Ms Bellette)  
That the Strategic Risk and Assurance Committee receives the report.

**13. Resolution to Exclude the Public**

**Resolved:** (Mr Robertson/Mayor Southgate)

**Section 48, Local Government Official Information and Meetings Act 1987**

The following motion is submitted for consideration:

That the public be excluded from the following parts of the proceedings of this meeting, namely consideration of the public excluded agenda.

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution follows.

| General subject of each matter to be considered   | Reasons for passing this resolution in relation to each matter   | Ground(s) under section 48(1) for the passing of this resolution |
|---|--|--|
| C1. Confirmation of the Strategic Risk and Assurance Public Excluded Minutes 18 June 2024 | ) Good reason to withhold<br>) information exists under<br>) Section 7 Local Government<br>) Official Information and<br>) Meetings Act 1987 | Section 48(1)(a)   |
| C2. Organisational Improvement Register - Public Excluded                                 | )  |  |

- C3. Legal Risks - Committee Update
- C4. SR4 Loss of Information or Access to Systems and Services

This resolution is made in reliance on section 48(1)(a) of the Local Government Official Information and Meetings Act 1987 and the particular interest or interests protected by Section 6 or Section 7 of that Act which would be prejudiced by the holding of the whole or relevant part of the proceedings of the meeting in public, as follows:

|          |   |                        |
|----------|---|------------------------|
| Item C1. | to prevent the disclosure or use of official information for improper gain or improper advantage                                | Section 7 (2) (j)      |
| Item C2. | to prevent the disclosure or use of official information for improper gain or improper advantage                                | Section 7 (2) (j)      |
| Item C3. | to maintain legal professional privilege  | Section 7 (2) (g)      |
|          | to enable Council to carry out commercial activities without disadvantage   | Section 7 (2) (h)      |
|          | to enable Council to carry out negotiations   | Section 7 (2) (i)      |
| Item C4. | to protect information which is subject to an obligation of confidence where disclosure would likely damage the public interest | Section 7 (2) (c) (ii) |
|          |   | Section 7 (2) (h)      |
|          |   | Section 7 (2) (j)      |
|          | to enable Council to carry out commercial activities without disadvantage   |                        |
|          | to prevent the disclosure or use of official information for improper gain or improper advantage                                |                        |

**The meeting moved into a Public Excluded Session at 2:18pm.**

**The meeting was declared closed at 2:51 pm.**