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## Strategic Risk and Assurance Committee

### *Komiti Whakamauru Tuuraru*

### OPEN MINUTES

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Minutes of a meeting of the Strategic Risk and Assurance Committee held in Council Chamber and Audio-Visual Link, Municipal Building, Garden Place, Hamilton on Thursday 6 March 2025 at 1:02 pm.

#### PRESENT

**Chairperson** Mr Bruce Robertson  
*Heamana*

**Deputy Chairperson** Ms Carol Bellette  
*Heamana Tuarua*

**Members** Mayor Paula Southgate  
Cr Maxine van Oosten  
Cr Ewan Wilson  
Cr Sarah Thomson

**In Attendance:** Cr Tim Macindoe  
Cr Anna Casey-Cox  
Bineeta Nand and Murtaza Ali – Representatives from KPMG NZ  
Katarina Macown and Athol Graham – Representatives from Audit NZ

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*The Chair opened the meeting with a karakia.*

#### 1. **Apologies – *Tono aroha***

**Resolved:** (Mr Robertson/ Cr Wilson)

That the Strategic Risk and Assurance Committee accepts the apologies for lateness from Mayor Paula Southgate and full absence from Deputy Mayor Angela O’Leary, Cr Moko Tauariki and Cr Kesh Naidoo-Rauf.

#### 2. **Confirmation of Agenda – *Whakatau raarangi take***

**Resolved:** (Mr Robertson/Cr van Oosten)

That the agenda is confirmed noting that the late attachments for Item 11 (*Audit New Zealand Update*) are accepted. These were circulated to members prior to the meeting and will be attached to the Open Minutes of this meeting via Appendix 1.

#### 3. **Declarations of Interest – *Tauaakii whaipaaanga***

Chair Bruce Robertson declared an interest in Item 6 *Chief Executive Report and Item C4 (SR3 Loss of Information or Access to Systems and Services)*. He noted that he was not conflicted and would take part in the discussion and vote on the matter.

**4. Public Forum – *AAtea korero***

**Roger Stratford** spoke to Item 6: *Chief Executive Report* in relation to language used in the Chief Executive Report.

**5. Confirmation of the Strategic Risk and Assurance Open Minutes 10 December 2024**

**Resolved:** (Cr Robertson/Cr van Oosten)

That the Strategic Risk and Assurance Committee confirm the Open Minutes of the Strategic Risk and Assurance Meeting held on 10 December 2024 as a true and correct record.

*Item Number 6 (Chief Executive Report) was taken after Item 12 (Compliance Reporting Update) to accommodate availability of the Chief Executive*

**6. Health & Safety Report - 1 November 2024 to 31 January 2025 (*Recommendation to the Council*)**

The People and Safety Wellness Manager spoke to the report, highlighting an increase in threatening behaviour towards staff in the last quarter and the upcoming ISO45001 Audit. Staff responded to questions from Members concerning the Safety Governance Committee.

**Resolved:** (Cr van Oosten/Cr Thomson)

That the Strategic Risk and Assurance Committee recommends that the Council receives the report.

**7. Risk Management Report**

The Senior Risk and Resilience Advisor took the report as read. Staff responded to questions from Members concerning Council's risk appetite and the continued work on the Business Impact Analyses.

**Resolved:** (Mr Robertson/Ms Bellette)

That the Strategic Risk and Assurance Committee recommends that the Council receives the report.

**8. Other Independent Assurance Updates and Report**

The Chief Financial Officer and Accounting Manager spoke to the report highlighting the work being undertaken by Independent Assurances across Council. Staff responded to questions from Members concerning the appointment of independent assurance experts and previous internal audit functions.

**Resolved:** (Ms Bellette/Cr Thomson)

That the Strategic Risk and Assurance Committee receives the report.

**9. KPMG – Internal Audit Update and Report**

Representatives from KPMG spoke to the report noting changes to the Internal Audit process. Staff and KPMG Representatives responded to questions concerning the Internal Audit process and the fees associated with this.

**Resolved:** (Ms Bellette/Cr van Oosten)  
That the Strategic Risk and Assurance Committee receives the report.

**10. Organisational Improvement Report**

The Accounting Manager took the report as read.

**Resolved:** (Mr Robertson/Cr Wilson)  
That the Strategic Risk and Assurance Committee receives the report.

**11. Audit New Zealand Update**

The Accounting Manager and Audit NZ representatives spoke to the report, noting the rotation of auditors and the valuation of infrastructure assets. They responded to questions from Members concerning revaluation of assets volatility and benchmarking across Councils.

**Resolved:** (Mayor Southgate/Cr van Oosten)  
That the Strategic Risk and Assurance Committee receives the report.

*Mayor Paula Southgate joined the meeting (2:23pm) during the discussion of the above item. She was present when the matter was voted on.*

**12. Annual Report 2024-25 Planning and Accounting Technical Review**

**Resolved:** (Mayor Southgate/Cr van Oosten)  
That the Strategic Risk and Assurance Committee receives the report.

**13. Chief Executive Report**

The Chief Executive spoke to his report highlighting recent Central Government policy changes, performance reporting and potential benchmarking for Local Government.

**Resolved:** (Ms Bellette/Cr van Oosten)  
That the Strategic Risk and Assurance Committee receives the report.

*Item Number 13 (Other Independent Assurance Updates and Reports) was taken after Item 8 (Risk Management Report ) to accommodate availability of presenters*

**14. Compliance Reporting Update**

The Governance and Assurance Manager took the report as read.

**Resolved:** (Mr Robertson/Cr van Oosten)  
That the Strategic Risk and Assurance Committee receives the report.

**15. Resolution to Exclude the Public****Resolved:** (Cr Wilson/Cr Thomson)**Section 48, Local Government Official Information and Meetings Act 1987**

The following motion is submitted for consideration:

That the public be excluded from the following parts of the proceedings of this meeting, namely consideration of the public excluded agenda.

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution follows.

General subject of each matter to be considered	Reasons for passing this resolution in relation to each matter	Ground(s) under section 48(1) for the passing of this resolution
C1. Confirmation of the Strategic Risk and Assurance Public Excluded Minutes 10 December 2024	) Good reason to withhold ) information exists under ) Section 7 Local Government ) Official Information and ) Meetings Act 1987 )	Section 48(1)(a)
C2. Legal Risks - Committee Update		
C3. Organisational Improvement Register - Public Excluded		
C4. SR3 Loss of Information or Access to Systems and Services		

This resolution is made in reliance on section 48(1)(a) of the Local Government Official Information and Meetings Act 1987 and the particular interest or interests protected by Section 6 or Section 7 of that Act which would be prejudiced by the holding of the whole or relevant part of the proceedings of the meeting in public, as follows:

Item C1.	to prevent the disclosure or use of official information for improper gain or improper advantage	Section 7 (2) (j)
Item C2.	to maintain legal professional privilege	Section 7 (2) (g)
Item C3.	to prevent the disclosure or use of official information for improper gain or improper advantage	Section 7 (2) (j)
Item C4.	to protect information which is subject to an obligation of confidence where disclosure would likely damage the public interest to enable Council to carry out commercial activities without disadvantage to prevent the disclosure or use of official	Section 7 (2) (c) (ii) Section 7 (2) (h) Section 7 (2) (j)

information for improper gain or improper  
advantage

**The meeting moved to public excluded session at 3:02 pm.**

**The meeting was declared closed at 3:49pm.**